URDM.056CP/ IDC-0015

FURTHER NOTIFICATION OF LOSS OF ENTITLEMENT TO SMALL ENTITY **STATUS** 

Applicant

Miles, Mark W.

Patent No.

7,123,216

Issued

October 17, 2006

App. No.

09/413,222

Filing Date

October 5, 1999

For

PHOTONIC MEMS AND

**STRUCTURES** 

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Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

In reviewing the records in the Patent Application Information Retrieval (PAIR) system for the above-identified patent, Applicant noticed that the Office shows the Applicant as still qualified as a Small Entity. Attached is a copy of a print-out from PAIR for your reference.

· On September 23, 2005, Applicant paid the issue fee of \$1400 by check for a Large Entity. With that payment, the Applicant checked Box 5b of PTOL-85 (PART B -FEE(S) TRANSMITTAL) form notifying the Office that "Applicant is no longer claiming SMALL ENTITY status". A copy of the Transmittal Letter, Fee Transmittal, and both sides of said check are enclosed for your reference.

On October 28, 2005, Applicant filed a petition to withdraw the application from issue. The Petition was granted and the application was allowed again on November 16, 2005. On February 14, 2006, Applicant submitted a new PTOL-85 (PART B FEE(S) TRANSMITTAL) form and again checked Box 5b, thereby notifying the Office that "Applicant is no longer claiming SMALL ENTITY status". A copy of the Transmittal Letter and Fee Transmittal are enclosed for your reference. -700.00 OP

-1-

In view of the foregoing, Applicant hereby again notifies the Office that Applicant is no longer entitled to Small Entity status. Accordingly, the Applicant requests the Office to recognize Applicant's loss of entitlement to Small Entity status, and to correct its records for the above-identified patent application. Since the Office has collected the entire \$1,400 amount paid by Applicant on September 23, 2005, Applicant believes that no further payment is required. However, if the Office believes otherwise, please charge any additional fees that would have been due at the time the issue fee was paid, or are now due, in view of Applicant's loss of entitlement to Small Entity status.

The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 11-1410.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: August 1, 2007

Mark M. Abumer

Registration No. 43,458

Attorney of Record

Customer No. 59,747

(619) 235-8550

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Patent Maintenance Fees		07/31/2007 06:54 PM EDT					
Patent Number:	7123216	Application Number:	09413222				
Issue Date:	10/17/2006	Filing Date:	10/05/1999				
Window Opens:	10/19/2009	Surcharge Date:	04/20/2010				
Window Closes:	10/18/2010	Payment Year:					
Entity Status:	SMALL						
Customer Number:	000000						
Street Address:	KNOBBE MARTENS OLSON & BEAR LLP						
City:	IRVINE						
State:	CA						
Zip Code:	92614						
Phone Number:	(949) 760-0404						
Currently there are no fees due.							

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## ISSUE FEE TRANSMITTAL LETTER

**Applicant** 

Mark W. Miles

App. No

09/413,222

Filed

October 5, 1999

For

PHOTONIC MEMS AND

**STRUCTURES** 

Art Unit

2873

Class/Sub-Class

345-540000

Examiner

H. X. Dang

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Mark M. Abumeri, Reg. No. 43,458

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				Febr	uary 14 . 2	006 (Date)	
APPLICATION NO. FILING DATE			FIRST NAMED INVENTOR		ATTORNEY DOCKET N	D. CONFIRMATION NO.	
09/413,222	10/05/1999		MARK W. MILES		01568-010001	1748	
TITLE OF INVENTION: P	HOTONIC MEMS AND ST	RUCTURES					
APPLN. TYPE	SMALL ENTITY	ISSUE FI	EE I	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE	
nonprovisional	YES	\$0		\$0	\$0	02/16/2006	
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DANG, HUNG XUAN		2873 345-54000		345-540000			
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3. ASSIGNEE NAME AND						- · · · · · · · · · · · · · · · · · · ·	
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